(vs), 2010 (vs), 1990 (vs), 1950 cm⁻¹ (w). Also present was a band of medium intensity at 1860 cm⁻¹ due to some unreacted dicobalt octacarbonyl. The specific rotation of the solution was measured at 589 mµ on a JASCO Model ORD/uv-5 instrument in a 1-mm quartz cell using the initial concentration of the starting silane to calculate the concentration in grams per 100 ml of phenylneopentylmethylsilylcobalt tetracarbonyl assuming quantitative conversion. The specific rotation calculated in this manner was $[\alpha]D - 42.8^{\circ}$ (c 16, toluene). The sign of the plain dispersion curve is opposite that of the starting silane as was the case in the α -NpPhMeSi* system. No attempt was made to isolate this material, but it was used directly in expt 6 below.

6. Exchange Reaction between (-)-Phenylneopentylmethylsilylcobalt Tetracarbonyl and Triphenylsilane. Triphenylsilane, 6.3 g, was added directly to the stirred reaction mixture from experiment F5 above and immediate hydrogen evolution occurred, presumably due to reaction of triphenylsilane with the excess dicobalt octacarbonyl in solution. The reaction mixture was then stirred under nitrogen for 24 hr, at room temperature, after which time (+)-phenylneopentylmethylsilane, $[\alpha]D$ +1.6° (c 10, pentane), $[\alpha]D + 6.2^{\circ}$ (c 10, toluene), 1.0 g (62.5 % yield), was distilled directly from the reaction flask through a micro Vigreux column, bp 42° (0.15 mm), showing that complete exchange had occurred with 100% retention of configuration at the optically active silicon center. An infrared spectrum of the recovered silane was identical with that of the pure compound.9

The solid triphenylsilylcobalt tetracarbonyl precipitated out of the distillation pot as the flask cooled to room temperature. The toluene was decanted from the light brown solid and the material was washed with heptane. It was then taken up in benzene and crystallized at 8° yielding 1.10 g of a white, crystalline solid. The infrared spectrum of this compound was in complete agreement with that reported in the literature⁹ for triphenylsilylcobalt tetracarbonyl; however, the melting point, 168-170°, was sharper and much higher than that reported by Chalk and Harrod, 135-140°.9 The melting behavior was characteristic⁹ of silylcobalt carbonyls, *i.e.*, decomposition starting at 168° with gas evolution turning green at 175°, and finally blackening at high temperatures. As a further proof of structure, 0.40 g of the triphenylsilylcobalt tetracarbonyl was dissolved in 2.0 ml of benzene and 0.30 ml of methanol was added. The solution darkened, a gas was evolved, and a greenish solid precipitated. The mixture was filtered and 10 ml of pentane added to the light yellow filtrate. The solution was put in the freezer at -20° and an initial crop of 70 mg of triphenylsilanol, mp 155° came out quickly. The solution was decanted into another flask and returned to the freezer. After 20 hr at -20° , 0.26 g (58%) yield), of the white, crystalline triphenylsilylmethoxysilane, mp 54-56°, had fallen out of solution. An infrared spectrum of this material was completely consistent with the structure.

Stereochemistry of Asymmetric Silicon. XVI. Transition Metal Catalyzed Substitution Reactions of Optically Active Organosilicon Hydrides¹

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Abstract: The stereochemistry of a general class of group VIII metal-catalyzed reactions of the Si-H bond has been studied. The reactions of a variety of reagents containing OH and NH functions with optically active α -NpPhMeSi*H were found to proceed with *inversion* of configuration at the silicon center in contrast to the noncatalytic cleavages of Si*-H with strong nucleophiles which proceed with retention. The effects of structure on reactivity as well as solvent and catalyst effects were examined. It was shown that substitution reactions were catalyzed by pure palladium metal and may best be regarded as examples of heterogeneous catalysis. A mechanistic interpretation involves initial coordination of α -NpPhMeSi*H with the reactive metal center followed by backside displacement of silicon from the metal surface with inversion.

The metals of group VIII and some of their salts are known to catalyze the cleavage of the silicon-hydrogen bond by a wide variety of nucleophilic reagents.^{2,3} The most widely studied nucleophiles have been amines (1), alcohols (2), and carboxylic acids (3). This general

М

$$RNH_2 + R_3SiH \xrightarrow{M} RNHSiR_3 + H_2$$
(1)

$$ROH + R_3 SiH \xrightarrow{AM} ROSiR_3 + H_2$$
 (2)

$$RCOOH + R_3SiH \xrightarrow{M} RCOOSiR_3 + H_2$$
(3)

class of reactions constitutes a convenient method for the preparation of substances containing the siliconoxygen and silicon-nitrogen bonds in high yields. Despite numerous studies which have greatly widened the

(1) (a) Support of this work by a grant from the National Science Foundation is gratefully acknowledged. (b) For the preceding paper in this series, see L. H. Sommer, et al., J. Am. Chem. Soc., 91, 7051 (1969).

(2) L. H. Sommer and J. E. Lyons, ibid., 89, 1521 (1967), and references cited therein.

(3) L. H. Sommer and J. D. Citron, J. Org. Chem., 32, 2470 (1967).

synthetic scope of these processes, little has been learned concerning the fundamental nature of the reactions.

The recent synthesis and correlation of configurations of a large number of optically active silylamines,^{4,5} alkoxysilanes,^{5,6} and silvl esters,^{6c,d,7} has made possible a systematic stereochemical study of the group VIII metalcatalyzed substitution reactions (1-3). Because of the profound effect that the metal surface may exert on the geometry of reaction, stereochemical data can be extremely useful in attempts to determine the mechanisms of heterogeneous catalysis. The mechanistic implica-

(4) L. H. Sommer and J. D. Citron, J. Am. Chem. Soc., 89, 5797 (1967).

<sup>(1967).
(5) (</sup>a) A. G. Brook, et al., Can. J. Chem., 45, 1231 (1967); (b)
K. Ruhlman, et al., Z. Chem., 5, 108 (1965).
(6) (a) L. H. Sommer, C. L. Frye, G. A. Parker, and K. W. Michael,
J. Am. Chem. Soc., 86, 3271 (1964); (b) L. H. Sommer, C. L. Frye, and
G. A. Parker, *ibid.*, 86, 3276 (1964); (c) L. H. Sommer, et al., *ibid.*,
89, 857 (1967); (d) L. H. Sommer, K. W. Michael, and W. D. Korte,
14, 49, 968 (1067). (c) L. H. Sommer, and H. Ewijmeta, *ibid.*, 00, 082 ibid., 89, 868 (1967); (e) L. H. Sommer and H. Fujimoto, ibid., 90, 982 (1968).

⁽⁷⁾ L. H. Sommer, G. A. Parker, and C. L. Frye, ibid., 86, 3280 (1964).

Table I. Palladium^a and Nickel^b Catalyzed Substitution Reactions of (+)-R₃Si*H^e

			Pd-catalyzed reactions Ni-catalyzed Predominant stereo-				-catalyzed 1	reactions — Predominant stereo-
Reactant	Solvent	Product	Yield, %	$[\alpha] D^d$	chemistry," % inv	Yield, $\%$	$[\alpha] D^d$	chemistry, % inv
Water	CH ₂ Cl ₂	$(-)R_3Si^*OH$	91	-12	81	97	-17	94
Methanol	Xvlene	$(-)R_{s}Si*OCH_{s}$	95	-16	97	94	-16.6	99
Methanol	Pentane	$(-)R_3Si^*OCH_3$	93	-17	100	94	-17	100
Ethanol	Pentane	$(-)R_3Si^*OC_2H_5$	87	-11	100		- •	
n-Butyl alcohol	Pentane	(–)R ₂ Si*OC ₄ H ₉	8 9	-9.3	100			
Benzyl alcohol	Pentane	$(-)R_3Si^*OCH_2Ph$	91	-15	100			
2-Phenylethanol	Pentane	$(-)R_3Si^*OCH_2CH_2Ph$	83	-6.0	≥97			
Cyclohexanol	CH_2Cl_2	$(+)R_3Si^*OC_6H_{11}$	89	+6.7	87	98	+7.1	90
Cyclohexanol	Xylene ⁷	$(+)R_{3}Si^{*}OC_{6}H_{11}$	86	+3.9	72	95	+7.9	94
Cyclohexanol	Pentane	$(+)R_3Si^*OC_6H_{11}$	9 0	+8.9	99	93	+8.4	96
1-Phenylethanol	Pentane	(+)R ₃ Si*OCH(CH ₃)Ph ^g	95	+10°	>94			
(−)-Menthol	CH_2Cl_2	(-)R ₃ Si*OMen				99	-46	>95
t-Butyl alcohol	CH_2Cl_2	$(+)R_3Si^*OC(CH_3)_3$	88	+21	88	91	+21	88
t-Butyl alcohol	Xylene	$(+)R_2Si^*OC(CH_3)_3$				93	+22	9 0
Phenol	CH_2Cl_2	(−)R ₃ Si*OPh	92	-2	63	97	-8.7	92
Acetic acid	CH_2Cl_2	(−)R ₃ Si*OOCCH ₃	79	-10	79	84	-12	83
Acetic acid	Pentane	(−)R₃Si*OOCCH₂	9 0	-12.5	86	90	-10	79
Benzoic acid	CH_2Cl_2	(−)R ₃ Si*OOCPh	85	-11	80	85	-12	83
Styrene oxide	CH_2Cl_2	$(-)R_3Si^*OCH_2CH_2Ph$	75	+6	100			

^a 10% Pd-C; Matheson Coleman and Bell. ^b No. 28 Raney active nickel catalyst in water, Raney catalyst Division, W. R. Grace & Co., Silver Springs, Md. (water removed at reduced pressure and stored under xylene or pentane). ^c The α -NpPhMeSi*H was optically pure; in several cases the (-) enantiomer was used and the sign of the product was therefore reversed. ^d Rotation (in degrees) taken in the solvent as reported in the literature.⁵⁻⁸ • A predominant stereochemistry of 90% inversion indicates a reaction path that is 90% invertive and 10% retentive giving a product that is 80% optically pure. f Reaction mixture was heated at 80° for 1.5 hr. • Based on a value of $[\alpha]D + 9.5°$ for optically pure R_3Si^*OPh , from unpublished results of R. Mason; $(-)R_3Si^*H$ was used in this reaction.

tions of the stereospecific cleavage of the silicon-hydrogen bond by nucleophilic reagents in the presence of group VIII metals will be the subject of the present paper.

When optically active α -naphthylphenylmethylsilane (R₃Si*H) is treated with amines, alcohols, water, phenols, or carboxylic acids (Figure 1), hydrogen is evolved

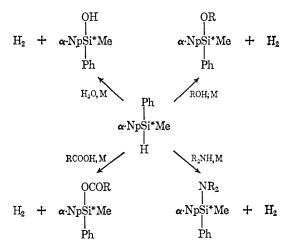


Figure 1.

and high yields of the corresponding optically active organosilicon compounds are obtained. The results of these reactions are reported in Tables I-III. In contrast to the hydrolsilation of olefins^{1b,8} and Si*H-D exchange reactions^{1b,9} which proceed with retention, group VIII metal-catalyzed substitution reactions of oxygen and

(8) L. H. Sommer, K. W. Michael, and H. Fujimoto, J. Am. Chem.

Soc., 89, 1519 (1967). (9) L. H. Sommer, J. E. Lyons, K. W. Michael, and H. Fujimoto, *ibid.*, 89, 5483 (1967).

Table II. Metal-Catalyzed Methanolysis of R₃Si*H in Pentane catalyst

$R_3Si^*H + CH_3C$	$OH \xrightarrow[C_6H_{12}]{}$	R₃Si*OC	$H_3 + H_2$
Catalyst	Reaction time, min	Yield, %	Predominant stereochemistry, % inv
10% Pd-C	30	93	100
Raney nickel	270	94	100
5% Rh-C ^a	120	9 0	58
5% Ru–C ^b	300	94	59
$H_2PtCl_6 \cdot 6H_2O^c$	50	91	(Racemic)
Ag (powder)	1140	94	(Racemic)
$PdCl_2 \cdot 2H_2O$	3	93	(Racemic)
$PdCl_2 \cdot 2H_2O(Et_3N)^d$	390	99	98

^a 5% Rh-C, Baker and Co., Inc. ^b 5% Ru-C, Engelhard Industries, Inc. ^c This reaction was run in methylene chloride. ^d Palladium(II) chloride to which triethylamine was added.

nitrogen nucleophiles with R_3Si^*H proceed with inversion of configuration at the silicon center.¹⁰ The rate and stereospecificity of the reactions are dependent on the nature of the nucleophile, the organosilane, the solvent, and the catalyst system used.

Effect of the Nucleophile. The palladium and nickel catalyzed substitution reactions of R₃Si*H, Figure 1, are greatly affected by the bulk of the hydrocarbon portion of the attacking nucleophile. The order of reactivity of alcohols is in general primary > secondary > tertiary. Chain lengthening and branching in the alcohol result in lower reaction rates.¹¹ Carboxylic acids react

⁽¹⁰⁾ Initial investigations into the reactions of amines with $R_3Si^*H^3$ indicated that catalysis by $10\,\%$ Pd–C led to racemic products. Subsequent work has shown that racemization was most probably due to acidic impurities in the commercial catalyst which may be removed (see Table III).

⁽¹¹⁾ Similar effects have been reported for the reaction of triethyl-silane with alcohols using copper powder^{11b} or chloroplatinic acid^{11a} as the catalyst: (a) W. S. Miller, J. S. Peake, and W. H. Nebergall, J. Am. Chem. Soc., 79, 5604 (1957); (b) E. Lukevics and M. G. Voronkov, Khim. Geterotsikl. Soedin., 171 (1965).

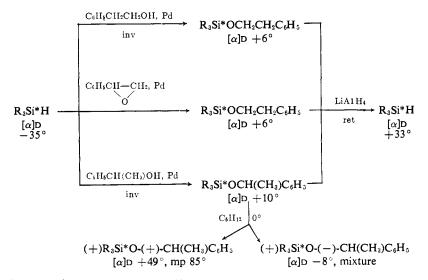


Figure 2. Palladium-catalyzed epoxide cleavage by $(-)R_3Si^*H$.

much more slowly than do alcoholic substrates and the stereospecificity is also noticeably lower. Amines react at a rate which is comparable to that of alcohols having a similar structure; however, the stereospecificity of these reactions is highly dependent on the nature of the catalyst surface (Table III). The following series stereochemistry of this reaction since it bears a formal similarity to both the hydrosilation of olefins which occurs with retention,^{1b,8} and to substitution reactions which proceed with inversion.^{2,3}

We have found that $R_{\delta}Si^{*}H$ reacts readily with styrene oxide in the presence of catalytic amounts of palladium

Table III.	Palladium-Catalyzed	Reactions of	of (–)Ra	₃Si*H⁰ with	Amines
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Reactant	Solvent	Ca talyst ^b	Product	Yield, %	Prominant stereochemistry, % inv
Pyrrolidine	CH ₂ Cl ₂	Pd-C	R₃Si*Pyr	70	(Racemic)°
Pyrrolidine	$C_{5}H_{12}$	Pd-C	$(+)R_3Si^*Pyr$	90	65
Pyrrolidine	C_5H_{12}	Pd-CB	$(+)R_3Si^*Pyr$	89	100
i-BuNH ₂	p-Xylene	Pd-C	R _s Śi* NHBu	76	(Racemic) ^c
<i>i</i> -BuNH ₂	$C_5 H_{12}$	Pd-CB	(+)R₃Si*NHBu	92	`9 7

^a Optically pure $(-)R_3Si^*H$. ^b Pd–C is 10% Pd–C obtained from Matheson Coleman and Bell; Pd–CB is prepared by treating Pd–C with aqueous pyrrolidine, filtering and removing water and pyrrolidine at reduced pressure. ^c See ref 3.

demonstrates the relative reactivities of a representative group of nucleophiles toward R_3Si^*H in pentane using 10% Pd-C catalyst.

$$\begin{array}{l} \mathrm{CH}_{3}\mathrm{OH} > \mathrm{CH}_{3}\mathrm{CH}_{2}\mathrm{OH} \approx \swarrow -\mathrm{CH}_{2}\mathrm{OH} > \\ & \swarrow -\mathrm{CH}_{2}\mathrm{CH}_{2}\mathrm{OH} > \mathrm{CH}_{3}\mathrm{CH}_{2}\mathrm{CH}(\mathrm{CH}_{3})\mathrm{NH}_{2} > \\ & \bigtriangleup \mathrm{NH} > \bigodot -\mathrm{OH} > \bigotimes -\mathrm{CH}(\mathrm{CH}_{3})\mathrm{OH} > \\ & (\mathrm{CH}_{3})_{3}\mathrm{COH} > \mathrm{CH}_{3}\mathrm{COOH} \approx \bigotimes -\mathrm{OH} > \bigotimes \mathrm{CoOH} \end{array}$$

The recent synthesis of alkoxysilanes by the nickelcatalyzed cleavage of cyclic ethers with organosilanes¹² (4) demonstrates that the nucleophile need not bear an active hydrogen. It was of interest to determine the

$$\begin{array}{c} (CH_2)_n \\ CH_2 \end{array} + R_3 SiH \xrightarrow{\text{Raney Ni}} CH_3 (CH_2)_n OSiR_3 \quad (4) \end{array}$$

on carbon. The major product of this reaction is (+)- α -naphthylphenylmethyl-2-phenylethoxysilane (1) and it is formed with *complete inversion at the optically active silicon center*. Figure 2 summarizes the reactions which establish the structure and relative configuration of (1),¹³ and shows that the site of cleavage of the styrene oxide is the benzyl-oxygen bond. No α -naphthylphenylmethyl-1-phenylethoxysilane was detected in this reaction (see Figure 2).

Effect of the Organosilane. Minor changes in the structure of the optically active silicon compound have no effect on the stereochemistry of the group VIII metal catalyzed substitution reactions. Thus when optically active neopentylphenylmethylsilane was treated with methanol in xylene using 10% palladium on carbon the product was formed with 98% inversion of configuration (5).

$$(+)-\text{neo-}C_5H_{11}C_6H_5CH_3Si^*H \xrightarrow{\text{Pd}-C} (-)-\text{neo-}C_5H_{11}C_6H_5CH_3Si^*OCH_3 + H_2 \quad (5)$$

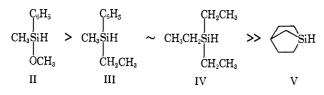
Structural changes in groups about the silicon do, on the other hand, have a marked effect on reaction rate

(13) For convincing evidence that reduction of alkoxysilanes with LiAlH₄ proceeds with retention of configuration, see ref 6b.

⁽¹²⁾ W. D. English and L. H. Sommer, Rev. Soc. Quim. Mex., 6, (4), 114 (1962).

(Table IV). The time required for complete methanolysis of phenylmethylethylsilane (III) is approximately 60 times longer than for phenylmethylmethoxysilane (II) showing that the presence of electronegative substituents on the silicon greatly enhances reaction rate.

In a case where inversion of configuration is impossible, reaction rate is extremely slow. The methanolysis of silabicyclo[2.2.1]heptane (V) is many times slower than its acyclic analog, triethylsilane (IV). This is especially interesting in view of the fact that V undergoes base-catalyzed hydrolysis much more rapidly than does triethylsilane.¹⁴ The following series indicates trends in reactivity of the organosilanes.



Effect of the Solvent. The reactions were run in a variety of organic solvents. For relatively unhindered nucleophiles such as methanol, cyclohexanol, and acetic acid, rates were fastest in aliphatic hydrocarbon solvents. The reaction rates followed the order aliphatics (pentane, heptane) > methylene chloride > aromatics (benzene, toluene, p-xylene). The faster rates in pentane than in polar or aromatic solvents are believed to be a result of the inability of saturated hydrocarbons to effectively poison the catalyst surface.¹⁵ In addition it should be noted that both yields and predominant stereochemistry values are higher in pentane than in other solvents. For very hindered nucleophiles such as t-butyl alcohol rates appear to be faster in methylene chloride than in pentane. Regardless of the solvent used, however, inversion of configuration is the predominant stereochemical path of the reaction.

Effect of the Catalyst. The nature of the catalyst has a greater effect on the stereochemistry than does the solvent. Table II shows that while palladium and nickel catalyze methanolysis of R_2Si^*H with nearly complete inversion, rhodium and ruthenium catalyze the reaction far less stereospecifically and platinum and silver catalysts lead to racemic products. Of the metals used in this study, palladium was found to be by far the most versatile and effective catalyst.

Table IV. Palladium^{α} Catalyzed Methanolysis of Organosilanes in Pentane

Reactant	Product	Total reaction time, min	Yield,
PhMe(MeO)SiH	PhMe(MeO) ₂ Si	0.4	99
PhMeEtSiH	PhMeEtSiOMe	25	98
Et ₃ SiH	Et ₃ SiOMe	30	100
α-NpPhMeSi*H	α-NpPhMeSi*OMe	30	93
SiH	SiOMe	≫60⁵	20 ^b

 a 10% Pd–C, Matheson Coleman and Bell. b Reaction was only 20% complete after 1 hr.

In this connection we felt it necessary to demonstrate that it was the palladium metal itself which was serving as the catalyst in these reactions. Finely divided palladium powder was generated according to the method of Nash and DeSieno,¹⁶ by exploding a pure palladium wire in a reaction chamber containing an argon atmosphere. A solution of $(+)R_{\vartheta}Si^*H$ in heptane was syringed into the chamber and then methanol (in excess) was added in a similar manner. Hydrogen was evolved and $(-)R_{\vartheta}Si^*OCH_{\vartheta}$ was formed in high yield with pure inversion of configuration at the silicon (6). This is

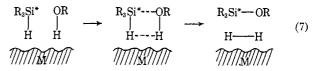
evidence that the alcoholysis of optically active silanes with inversion of configuration is indeed catalyzed by palladium metal in the absence of supports or added impurities.

Palladium generated *in situ* from $PdCl_2$ has also been found to be an effective catalyst for the methanolysis of optically active silanes (Table II). In the absence of added amine this reaction gives totally racemic product. However, in the presence of a small amount of triethylamine the reaction proceeds with nearly complete inversion.

Racemization of R_3Si^*H and $R_3Si^*OCH_3$ in the presence of commercially available palladium on carbon occurs slowly. These processes are at least in part responsible for the lack of stereospecificity in reactions which proceed extremely slowly. Such racemization may be prevented by using a catalyst which has been washed with an aqueous solution of an amine, filtered, and dried in a vacuum desiccator. This suggests strongly that the racemizing agent is acidic in nature. Table III compares the stereospecificities of some substitution reactions using amine washed, Pd–CB, and untreated, Pd–C, catalysts.

Stereochemical Considerations

The stereochemical results presented in this paper have interesting mechanistic implications. For example, the high degree of stereospecificity observed for the group VIII metal catalyzed substitution reactions of R_3Si^*H shows that *free* silyl radicals or ions are clearly not involved. The finding of *inversion* stereochemistry for reactions of oxygen and nitrogen nucleophiles argues against the operation of a four-center mechanism (7) on the catalyst surface.¹⁷ This type of mechanism might



appear quite attractive in the absence of stereochemical data; however, it would be expected to lead to retention of configuration at the silicon center.

Retention of configuration is, in fact, the most common stereochemical path for nucleophilic substitution

^{(14) (}a) L. H. Sommer and O. F. Bennett, J. Am. Chem. Soc., 81, 251 (1959); (b) L. H. Sommer, O. F. Bennett, P. G. Campbell, and D. R. Weyenberg, *ibid.*, 79, 3295 (1957).

⁽¹⁵⁾ Voronkov has suggested that polar solvent molecules may inhibit the substitution reactions by poisoning the catalyst surface.^{11b}

⁽¹⁶⁾ C. P. Nash and R. P. DeSieno, J. Phys. Chem., 69, 2139 (1965).
(17) E. Lukevics and M. G. Voronkov, Khim. Geterotsikl. Soedin., 171 (1965). The authors have proposed a mechanism similar to (7) for reactions of alcohols with triethylsilane in the presence of chloroplatinic acid which is reduced to metallic platinum during the reaction.

reactions of R_3Si^*H in the absence of transition metals. Base-catalyzed hydrolysis and alcoholysis as well as reactions with lithium aluminum hydride, alkyl-, and aryllithium reagents all occur with retention of configuration.^{6, 18} The mechanisms of such reactions are best described as proceeding through quasi-cyclic ratecontrolling transition states and fall into the general category, SNi–Si. In contrast to this, the group VIII metal catalyzed substitutions are the first general class of reactions of R_3Si^*H which have been found to yield optically active products of opposite configuration to the starting silane.

A mechanism analogous to the one presented for the group VIII metal catalyzed hydrosilation of olefins (8) suffers from the same drawbacks as does (7). Collapse of the intermediate to product in an intramolecular fashion in (8) should occur with retention. This would require that initial reaction of the optically active silane with the metal occur with inversion. The evidence pre-

$$R_{3}Si^{*}H + M \longrightarrow H \longrightarrow R_{3}Si^{*}OR \quad (8)$$

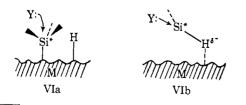
sented in a previous paper⁹ makes this alternative seem highly improbable. It appears doubtful, therefore, that the metal atom which coordinates silicon is also bonded to the attacking nucleophile.

It should be noted at this point that, in contrast to the hydrosilation of olefins and SiH(D) exchange reactions, we have found no evidence to date of a stereospecific group VIII metal-catalyzed substitution reaction in homogeneous solution. Furthermore, pure palladium powder, generated by exploding a wire in an inert argon atmosphere, is an efficient catalyst for the substitution reaction. This suggests strongly that the reactions discussed in this paper should be regarded as examples of *heterogeneous* catalysis.

A plausible mechanism for the substitution reactions involving oxygen and nitrogen nucleophiles is one in which interaction of the optically active silane with the reactive transition metal center occurs with *retention* and the displacement of the silicon from the metal occurs with *inversion* (9). The surface intermediate (VI)

$$R_{3}Si^{*}H \xrightarrow[\text{ret}]{(M)} [R_{3}Si^{*}H(M)] \xrightarrow[\text{nv}]{R} R_{3}Si^{*}OR$$
(9)

may contain a metal-silicon bond (VIa) or may be a complex (VIb) in which the Si-H bond is not broken but merely activated by the metal. In either case back-



(18) Exceptions to this generalization have been reported. For example, benzhydryllithium reacts with R_3SiH^*H to form $R_3SiCH(Ph)_2$ with 60% inversion: L. H. Sommer and W. D. Korte, J. Am. Chem. Soc., 89, 5802 (1967).

side attack by a nucleophile, Y:, would lead to an optically active product with inverted configuration.

A previous study⁸ has shown that optically active R_3Si^*H reacts with hydrogen chloride (10) in the presence of palladium catalysts to give good yields of optically active R_3Si^*Cl . In contrast to reactions of oxygen and nitrogen nucleophiles, HCl reacts with

$$R_{3}Si^{*}H + HCl \xrightarrow{Pd} R_{3}Si^{*}Cl + H_{2}$$
(10)

 R_3Si^*H with predominant *retention* of configuration. For reasons which are not clearly understood the mode of absorption of reactants in this case may be similar to that shown in mechanism 7.

Another alternative is that the palladium metal and HCl may react to form an intermediate capable of displacing H from silicon with retention. Tsuji and Ohno¹⁹ have suggested that oxidative addition of HX to palladium metal may occur to yield species of the type H-Pd-X (11). It is not unreasonable that if a species such as H-Pd-Cl were an intermediate in this process it

$$\frac{Ln}{HX + Pd + nL} \xrightarrow{HPdX} HPdX$$
(11)

could react with R_3Si^*H with retention of configuration at silicon (12). When, in fact, palladium(II) chloride is

$$\begin{array}{c} R_{a}Si^{*}-H\\CI\\Pd\end{array}$$
(12)

added to a solution of R_3Si^*H in pentane, optically active R_3Si^*Cl is formed rapidly in high yield with retention of configuration (13). The insolubility of the

$$2R_{3}Si^{*}H + PdCl_{2} \xrightarrow{\text{ret}} Pd + H_{2} + 2R_{3}Si^{*}Cl \qquad (13)$$
$$[\alpha]D - 35^{\circ} \qquad [\alpha]D + 5.8^{\circ}$$

polymeric palladium (II) chloride in pentane makes this system a reasonably good model for a heterogeneous catalyst containing Cl bonded to the metal surface.

Despite the fact that -H is a poor leaving group from silicon, and consequently the stereochemistry of the Si-H bond is largely that of retention, the vast majority of substitution reactions which we have investigated proceed with inversion in the presence of reactive transition metal centers. The marked effect of the metal surface on the stereochemistry of reactions is not unique in organic chemistry. The hydrogenolysis of optically active benzyl alcohols and ethers proceeds with inversion over palladium or platinum catalysts and with retention on the surface of nickel or cobalt, whereas optically active sulfones are reduced with inversion over nickel.²⁰ Thus, there is a dramatic difference in the nature of surface species depending on the metal used and on the chemical function which is bonded to it. Information which is rapidly accumulating concerning the nature of adsorbed species will eventually lead to a more precise picture of surface reactions.

⁽¹⁹⁾ J. Tsuji and K. Ohno, J. Am. Chem. Soc., 90, 94 (1967).

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Experimental Section

Pd- and Ni-Catalyzed Substitution Reactions of $(+)R_3SiH$. Reactions with alcohols or carboxylic acids summarized in Table I were carried out by first dissolving 0.62 g (2.5 mmol) of optically active α -naphthylphenylmethylsilane, $[\alpha]D + 35^{\circ}$ (c 2.1, pentane), in 6 ml of the carefully dried solvent. The desired catalyst, 0.06 g, was mixed with the solution of silane. An equimolar amount of the nucleophilic reagent (2.5 mmol) was then admitted to the reaction vessel through a syringe. Liquid reagents were added neat. Solid nucleophiles were admitted in a minimum of the reaction solvent. In these cases the amount of solvent initially used to dissolve the silane was adjusted so that the total volume of solvent used was 6 cc. In most cases immediate bubbling occurred; however, short induction periods of 5-15 min preceded several of the reactions. The reaction was allowed to proceed at room temperature in an atmosphere of dry nitrogen until bubbling had ceased. The mixture was then stirred for an additional 30 min and the solution filtered free of catalyst. Methanol was the most reactive nucleophile requiring 0.5-4 hr for complete reaction and benzoic acid was the least reactive requiring 2-4 days. The solvent was removed at reduced pressure and the resulting alkoxysilane or silvl ester was purified by column chromatography.7 Pure, optically active products were isolated in high yields (75-95%) and identified by comparison of infrared spectra with those of authentic samples.^{5,7,8} Specific rotations of the products were determined in solvents at concentrations reported in the literature.^{1b,5,7,8} The predominant stereochemistries listed in Table I were reproducible to within $\pm 2\%$.

Palladium-Catalyzed Reactions of $(-)R_3Si^*H$ with Amines. Optically active α -naphthylphenylmethylsilane, $[\alpha]D - 35^{\circ}$ (c 2.1, pentane), 0.62 g (2.5 mmol) was dissolved in 6 ml of dry pentane and 0.06 g of the desired catalyst was added. An equimolar amount of the amine (2.5 mmol) was syringed into the mixture and after a short induction period hydrogen was evolved and the reaction was allowed to proceed at room temperature under nitrogen. In some cases hydrogen evolution ceased before all the silane was reacted and addition of a small amount of fresh catalyst at this point was necessary to ensure complete reaction. The solution was filtered free of catalyst and evaporation of solvent at 10⁻² mm left the optically active aminosilane which was identified by its infrared spectrum.⁴ When the catalyst used was 10% Pd-C from Matheson Coleman and Bell, stereospecificity was poor. If the commercial catalyst was shaken with an aqueous solution of the amine, filtered, and dried in a vacuum desiccator (Pd-CB) prior to use, the reaction was completely stereospecific. Rates were much slower when Pd-CB was used.

Palladium-Catalyzed Methanolysis of Organosilanes in Pentane. a. Preparation and Methanolysis of Phenylmethylmethoxysilane, A solution was prepared containing 4.00 g (0.125 mol) of methanol. 11.1 g (0.11 mol) of triethylamine, 200 ml of pentane, and 50 ml of ethyl ether. Solvents and reagents had been thoroughly dried and distilled prior to use. To the stirred solution under nitrogen 15.65 g (0.100 mol) of phenylmethylchlorosilane in 20 ml of pentane was added dropwise over a 1-hr period. The mixture was stirred 10 hr, filtered free of triethylamine hydrochloride, and solvent was stripped off using a water aspirator. The crude product was fractionated on a spinning band column. A total of 9.6 g (64%yield) of phenylmethylmethoxysilane distilled at 63° at 12 mm, $n^{22.5}$ D 1.4888. Infrared and nmr spectra were completely consistent with the assigned structure.

Anal. Calcd for C₈H₁₂OSi: C, 63.10; H, 7.94. Found: C, 63.16; H, 7.95.

Phenylmethylmethoxysilane, 1.5200 g (1.00 \times 10⁻² mol), was dissolved in 15 ml of dry pentane and 0.131 g of 10% Pd-C was added. This mixture was stirred under nitrogen for 1 min and then 0.40 g (1.23 \times 10⁻² mol) of methanol was added rapidly through a syringe. Rapid hydrogen evolution occurred over a period of 25 sec after which time bubbling had completely stopped. The reaction mixture was immediately filtered free of catalyst and the pentane evaporated using a water aspirator leaving behind 1.80 g (99%) yield) of a clear colorless liquid having an infrared spectrum con-sistent with the expected product. The crude reaction product was distilled through a small Vigreux column yielding 1.50 g of phenylmethyldimethoxysilane boiling at 96° (21 mm), n²⁶D 1.4778 (lit.²¹ bp 91° (15 mm), n²⁵D 1.4778).

(21) This material is commercially available. Properties are listed in "Selection Guide to Dow Corning Reactive Organosilicon Chemicals," Dow Corning Corp. Form No. 03-017, Product No. Z-6073.

b. Methanolysis of Phenylmethylethylsilane. The palladiumcatalyzed methanolysis of phenylmethylethylsilane was carried out on the same scale as with phenylmethylmethoxysilane and under identical conditions. In this case hydrogen was evolved for a period of 25 min. After this time bubbling had stopped and the reaction mixture was immediately filtered free of catalyst, pentane evaporated, and an infrared spectrum of the crude product was taken. The spectrum exhibited bands characteristic of the PhMeEtSi system²² and in addition showed intense new absorptions at 3.54, 8.43, and 9.2 μ related to the SiOCH₃ moiety. Complete absence of the Si-H absorption at 4.75 μ showed that reaction was complete. The crude product, 1.8 g (99% yield), was fractionated on a semimicro spinning band column yielding phenylmethylethylmethoxysilane, 1.2 g, which distilled at 110° (23 mm), n^{22.5}D 1.4884.

Anal. Calcd for C10H18OSi: C, 66.61; H, 8.94. Found: C, 66.67; H, 8.85.

c. Methanolysis of Triethylsilane. Triethylsilane, 0.1834 g (1.58 mmol), in 3.6 cc of pentane was treated with 0.080 g (2.72 mmol) of methanol using 0.019 g of 10% Pd-C as a catalyst in the manner of previous reactions. Rapid hydrogen evolution occurred, slowing noticeably after 6 or 7 min and terminating after 30 min. The reaction mixture was filtered immediately and the product recovered as before. Triethylmethoxysilane was produced in quantitative yield (0.23 g).

d. Methanolysis of 1-Silabicyclo[2.2.1]heptane. The bridgehead silane,²³ 0.1690 g (1.51 mmol), in 3.4 cc of pentane was treated as before with 0.080 g (2.72 mmol) of methanol using 0.020 g of 10% Pd-C as the catalyst. Very slow bubbling occurred and continued for 1 hr after which time the reaction mixture was filtered free of catalyst. An infrared spectrum of the reaction mixture showed a broad band at 3300 cm⁻¹ indicating the presence of a large amount of methanol, an intense absorption at 2150 cm⁻¹ due to the Si-H moiety at the bridgehead, and demonstrating the presence of a large amount of unreacted 1-silabicyclo[2.2.1]heptane, V, and a weak band at 9.2 μ presumably due to a minor amount of 1-methoxy-1-silabicyclo[2.2.1]heptane,24 VII. Other characteristic absorptions of the bridgehead silicon system were also present. From the relative intensities of the Si-H and Si-OCH₃ bands in the infrared, the extent of reaction after 1 hr was estimated to be approximately 20%. Fractional sublimation of the mixture afforded 0.056 g of pure V²³ and 0.065 g of a material whose infrared spectrum was consistent with a mixture of V and VII. It was not possible to isolate pure VII by this method. Losses during sublimation were great due to the volatility of the bridgehead silane.

Palladium-Catalyzed Reaction of $(-)R_3Si^*H$ with Styrene Oxide. Optically active $(-)R_3Si^*H$, $[\alpha]D - 35^\circ$ (c 2.1, pentane), 2.49 g (10 mmoles), was dissolved in 7.0 ml of pure, dry methylene chloride and 0.25 g of 10% Pd-C was added. Freshly distilled styrene oxide, 2.40 g (20 mmoles), was added and the mixture stirred under nitrogen for 17 hr. The reaction mixture was filtered free of catalyst. Volatile materials consisting of methylene chloride, unreacted styrene oxide, and 0.2 g of phenylacetaldehyde (isolated and identified as its 2,4-dinitrophenylhydrazone, mp 120-121°) were distilled into a chilled trap at reduced pressure. The pot residue consisted of 1.2 g of a waxy substance which was insoluble in pentane and 3.6 g of pentane soluble material which was purified by chromatography through silica gel using 1:1 benzene-pentane as the eluent. Evaporation of the solvent left 2.6 g (70% yield) of a clear, colorless oil identified by its infrared and nmr spectra as $(+)-\alpha$ naphthylphenylmethyl-2-phenylethoxysilane (VIII). An infrared spectrum of the neat liquid showed the expected R₃Si* system absorptions⁶ plus new bands at 3.3 (s), 3.4 (s), 3.5 (s), 6.35 (w), 6.7 (s), 6.9 (s), 7.25 (m), 9.2 (vs), 9.35 μ (vs), apparently related to the -SiOCH₂CH₂Ph moiety. The proton magnetic resonance spectrum of VIII exhibited triplets at δ 3.68 (two protons) and 2.62 (two protons) due to the two sets of methylene protons, a singlet at 0.45 (three protons) due to the methyl group on silicon and complex multiplets (total of 17 protons) due to the aromatic hydrogens. The specific rotation of VIII was determined in pentane, $[\alpha]D$ $+6.8^{\circ}$ (c 11.1, pentane), n^{22} D 1.6155.

Anal. Calcd for $C_{25}H_{24}OSi$: C, 81.48; H, 6.59. Found: C, 81.63; H, 6.98.

⁽²²⁾ K. W. Michael, Ph.D. Thesis, The Pennsylvania State University, 1963, and ref 6d.

⁽²³⁾ O. F. Bennett, Ph.D. Thesis, The Pennsylvania State University, 1958, and ref 15.(24) M. C. Musolf, Ph.D. Thesis, The Pennsylvania State University,

^{1960.}

To establish that VII was formed exclusively, VIII and $(+)-\alpha$ naphthylphenylmethyl-1-phenylethoxysilane (IX) were each prepared by the catalytic method in pentane, see Table I. The product formed from 2-phenylethanol and $(-)R_3Si^*H$ was identical in every respect with the product of the styrene oxide cleavage. The product formed from 1-phenylethanol (IX), $[\alpha]D + 10.1$ (*c* 6, cyclohexane), had the characteristic nmr spectrum of a 1:1 mixture of the diasteriomeric α -naphthylphenylmethyl-1-phenylethoxysilane²⁵ and was resolvable into $(+)-\alpha$ -naphthylphenylmethyl-(+)-1phenylethoxysilane, $[\alpha]D + 49.2^{\circ}$ (*c* 3.2, cyclohexane), mp 85°, (lit.³⁸ $[\alpha]D + 47.2^{\circ}$), and $(+)-\alpha$ -naphthylphenylmethyl-(-)-1phenylethoxysilane, $[\alpha]D - 8.0^{\circ}$ (*c* 3.0, cyclohexane), wiscous oil. No attempt was made to resolve completely the (+, -) diasteriomer. Resolution was carried out by fractional crystallization from a 1:1 pentane-hexane solution.

Methanolysis of (+)R₃Si*H Using an Exploded Wire Catalyst.¹⁶ A reaction chamber was constructed from two vacuum desiccator bottoms sealed together with a rubber "O" ring. Large electrodes were implanted into the top section of the chamber and an outlet for connection to a vacuum line was built into the side of the vessel. Pure palladium wire 70 mg, No. 30 (California Fine Wire) was connected across the electrodes and was outgassed at red heat electrically with a chamber pressure of 10⁻⁴ mm for 12 hr. The wire was allowed to cool and pure argon, 650 mm, was then admitted to the system, and the chamber was closed off and removed from the vacuum line. The wire was exploded electrically^{16, 26} creating a dense black aerosol of palladium metal which settled to the bottom of the vessel after 2 hr. Argon was then admitted to the system until a chamber pressure of 800 mm was reached. A solution of 1.35 g of $(+)R_3Si^*H$, $[\alpha]D + 34.2$ (c 2.1, pentane), in 14 ml of dry heptane was syringed into the vessel while an overpressure of argon was maintained. The mixture was magnetically stirred for 1 min and then 1.0 ml of dry methanol was injected into the reaction chamber. Bubbling started immediately and continued for 8 hr Palladium(II) Chloride Catalyzed Reactions. a. Optically active $(-)R_3Si^*H$, $[\alpha]D - 35^\circ$ (c 2.1, pentane), 0.54 g, was dissolved in 5.4 ml of dry pentane. A suspension of palladium(II) chloride, 6 mg, in 0.33 ml of methanol was syringed into the solution of silane. Rapid gas evolution occurred accompanied by precipitation of metallic palladium. Reaction was over in 3 min and the mixture was filtered free of palladium. Evaporation of pentane at reduced pressure yielded racemic α -naphthylphenylmethylmethoxysilane, 0.55 g (93% yield).

b. A saturated solution of palladium(II) chloride in methanol was prepared by stirring PdCl₂ · 2H₂O, 20 mg, with 1 cc of methanol for 30 min and allowing the undissolved powder to settle on standing. Triethylamine, 20 μ l, was added and 0.3 ml of the clear yellow supernate syringed into a stirred solution of 0.63 g of (-)R₃Si^{*}H in 6.3 ml of pentane. The solution remained homogeneous for 25 sec then palladium metal began to precipitate and hydrogen was evolved. Bubbling continued for 6.5 hr after which the reaction mixture was filtered and solvent removed. A total of 0.68 g (99% yield) of white, crystalline R₃Si^{*}OCH₃, [α]D +16° (c 3.1, pentane), was isolated. The palladium metal, 0.4 mg, which was recovered from the reaction mixture was shown to be an active catalyst for the methanolysis of R₃Si^{*}H.

Reaction of Palladium(II) Chloride with R_3Si^*H . PdCl₂ powder (0.88 g, 0.05 mole) was added to a solution of $(-)R_3Si^*H$, $[\alpha]p - 35^\circ$ (2.24 g, 0.09 mole), in 25 cc of dry pentane. The reaction mixture was stirred under dry nitrogen. Vigorous bubbling occurred and the red solid PdCl₂ was converted to the black metal. Bubbling ceased after 30 min and the reaction mixture was filtered and solvent evaporated at reduced pressure yielding white, crystalline α -NpPhMeSi*Cl, mp 62-64°, $[\alpha]p - 5.8^\circ$ (c 10, cyclohexane).

Acknowledgments. We are extremely grateful to Dr. C. P. Nash and Mr. R. L. Musselman for the use of exploded wire equipment and for aid in the manipulation of the apparatus. Without their able assistance this experiment could not have been carried out.

Stereochemistry of Asymmetric Silicon. XVII. Synthesis, Resolution, and Stereochemistry of the 1,2,2,2-Tetraphenyl-1-methyldisilane System¹⁻³

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Abstract: An entirely new route to optically active functionally substituted organosilicon compounds has been developed, by which the 1,2,2,2-tetraphenyl-1-methyldisilane system has been synthesized and resolved. Whereas previously studied systems PhMeRSi*X ($R = \alpha$ -naphthyl, neopentyl, benzhydryl, or ethyl) have nonreacting groups bonded to asymmetric silicon via carbon atoms exclusively, the new system has a nonreacting group bonded to asymmetric silicon via a metalloid-metalloid linkage. Thus far, replacement of an organic R group in PhMe-RSi*X by Ph₃Si has not resulted in a reversal of functional group stereochemistry, according to chemical evidence. This extends the validity of earlier stereochemical generalizations for R_3Si*X , and reinforces their nondependence on the nature of the nonreacting groups.

The α -naphthyl systems (*i.e.*, the α -naphthylphenylmethylsilane system, α -NpPhMeSi*X) has afforded a practical route to other systems, where R equals benzhydryl, neopentyl, or ethyl, by the following method⁴ (reactions 1-3). Conservation of optical activity has been shown to be very high in the above conversions,

(3) We are pleased to acknowledge vital support of this work by the National Science Foundation.

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⁽²⁶⁾ The condenser bank used employed 28.4 μ F at a voltage of 10 kV in a circuit having an inductance of 0.3 μ H.

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⁽⁴⁾ L. H. Sommer, K. W. Michael, and W. D. Korte, J. Am. Chem. Soc., 89, 868 (1967).